PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Docket No.: Q63638

Toshihiro ARUGA

Appln. No.: 09/810,220

Group Art Unit: 2181

Confirmation No.: 6913

Examiner: not yet assigned

Filed: March 19, 2001

For:

PORTABLE TERMINAL APPARATUS

WITH SECURITY FUNCTION

INFORMATION DISCLOSURE STATEMENT RECEIVED UNDER 37 C.F.R. §§ 1.97 and 1.98 MAR 0 9 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Technology Center 2100

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from the European Patent Office dated February 11, 2004.

- 1. European Patent Application No. EP 0 965 938 A2 dated December 22, 1999.
- 2. European Patent Application No. EP 0 843 290 A2 dated May 20, 1998.
- 3. European Patent Application No. EP 0 887 774 A2 dated December 30, 1998.
- 4. Japanese Patent Publication No. 11-030953 dated February 2, 1999. (For the Examiner's convenience, an English abstract only of this reference is being supplied. This

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U.S. Appln. No.: 09/810,220

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reference was submitted previously with the Information Disclosure Statement of March 19,

2001.)

5. U.K. Patent Application No. GB 2 338 832 A dated December 29, 1999.

6. PCT Patent Publication No. WO 95/04328 dated February 9, 1995.

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date; (2) Before the mailing date of the first Office Action

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE

23373

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Date: March 8, 2004

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Substitute for Form 1449 A & B/PTO	Complete if Known				
	Application Number	09/810,220	10,220		
INFORMATION DISCLOSURE	Confirmation Number	6913			
TATEMENT BY APPLICANT	Filing Date	March 19, 2001			
IR 0 8 2004 😂	First Named Inventor	Toshihiro ARUGA			
(use as many sheets as necessary)	Art Unit	2181			
	Examiner Name	not yet assigned			
eet 1 of 1	Attorney Docket Number	Q63638			

	U.S. PATENT DOCUMENTS				
Examiner Cite Document Number No.1 Number	Document	Number	Publication Date		
	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		EP	0 965 938 A2		12-22-1999	NCR INTERNATIONAL, INC.	
		EP	0 843 290 A2		05-20-1998	Susumu MIYAKE	
		EP	0 887 774 A2		12-30-1998	HITACHI, LTD. and HITACHI VIDEO ENGINEERING, INC.	
		JР	11-030953		02-02-1999	HITACHI, LTD.	English abstract
		GB	2 338 832 A		12-29-1999	NEC TECHNOLOGIES (UK) LTD.	
		wo	95/04328		02-09-1995	INTELLECT AUSTRALIA PTY. LTD.	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*			Translation ⁶	
	<u> </u>			

	 	
Examiner Signature	 Date Considered	

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